



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nicholas Paul MacMillan et al.

Serial No. 10/765,953

Art Unit: 3767

Filed: January 29, 2004

Examiner: Hall, Deanna K.

For: VALVES AND SUCTION
CATHETER ASSEMBLIES

Atty Docket: 0119/0032

INFORMATION DISCLOSURE STATEMENT
FILED PURSUANT TO 37 CFR 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the attached Form PTO-1449 listing references for consideration by the Examiner in charge of the above-identified patent application. The examiner is respectfully requested to contact the undersigned if copies of U.S. patents are needed.

These documents are being submitted

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- ☐ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))
- ☒ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

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☐ concurrently with the filing of the RCE pursuant to 37 CFR 1.114

OR

☐ following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR §1.97(d)

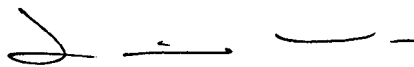
☒ The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2)) (PTO-2038 attached)

☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the _____ Patent Office in a counterpart application. (37 CFR §1.97(e)(1))

☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



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Date: Oct 17, 2007